

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

Applicant(s): Werner KLEMENT

Serial No.: 10/572.350

For: GEARBOX MODULE

International Filing Date: 2 September 2004

US Filing Date: 17 March 2006

Examiner: Not Yet Assigned

Art Unit: Not Yet Assigned

Confirmation No.: 7552

Customer No.: 27,623 Attorney Docket No.: WW050USU

Mail Stop PCT
COMMISSIONER FOR PATENTS
P.O. Box 1450
Alexandria, VA 22313-1450
Attention: DO/EO/US

Sir:

TRANSMITTAL OF MISSING PARTS OF APPLICATION AND
PAYMENT OF SURCHARGE UNDER 37 C.F.R. §1.16(e)

Transmitted herewith are the following documents pertaining to the above-identified application:

- ☒ Declaration and Power of Attorney form executed by Werner KLEMENT;
- ☐ The undersigned attorney has verified that the applicant is entitled to a Small Entity Status;
- ☐ _____ Sheets of Formal Drawings;
- ☒ An Assignment of the Invention to: **VOITH TURBO GMBH & CO. KG** including \$40.00 recordation fee and Assignment Recordation Cover Sheet;
- ☐ Information Disclosure Statement (Form - 1449);
- ☒ A copy of the Notification of Missing Requirements Under 37 U.S.C. 371;
- ☐ Preliminary Amendment; and

___ Petition and Fee for Extension of Time (37 CFR 1.136(A)).


The Filing Fee is calculated below.

CLAIMS AS FILED				
(1) For	(2) Number Filed	(3) Number Extra	(4) Rate	(5) Basic Fee \$300.00
Total Claims	22- 20 =	0	x \$50.00	\$
Independent Claims	1- 3 =	0	x \$200.00	\$
Multiple Dependent Claim Fee		x \$360.00 = \$0.00		
SEARCH FEE		\$500.00		
EXAMINATION FEE		\$200.00		
NATIONAL STAGE APPLICATION SIZE FEE (\$250 FOR EACH ADDITIONAL 50 SHEETS OVER 100 SHEETS)		\$		
TOTAL FILING FEE		\$PAID		
1/2 FILING FEE FOR SMALL ENTITY		\$		

☒ A check in the amount of \$ 170.00 to cover the surcharge (\$130) and assignment recordation fee (\$40) is enclosed.

☒ The Commissioner is hereby authorized to charge any additional fees under 37 C.F.R. §§1.16 and 1.17 which may be required with this communication or during the entire pendency of the application, or credit any overpayment, to **Deposit Account No. 01-0467**. A duplicate copy of this Form is enclosed.

November 27, 2006
Date


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CERTIFICATE OF EXPRESS MAILING

I hereby certify that this paper or fee is being deposited with the United States Postal Service "Express Mail Post Office to Addressee" Certificate No. **EV965836582US**, service under 37 CFR §1.10 and is addressed to: Mail Stop PCT, Commissioner for Patents, P.O. Box 1450, Alexandria, VA 22313-1450, Attention: DO/EO/US on November 27, 2006.

Suzanne Lombardo
(Typed name of person mailing paper)


(Signature of person mailing paper)



UNITED STATES PATENT AND TRADEMARK OFFICE

UNITED STATES DEPARTMENT OF COMMERCE
 United States Patent and Trademark Office
 Address: COMMISSIONER FOR PATENTS
 P.O. Box 1450
 Alexandria, Virginia 22313-1450
 www.uspto.gov

U.S. APPLICATION NUMBER NO.	FIRST NAMED APPLICANT	ATTY. DOCKET NO.
10/572,350	Werner Klement	WW050USU

INTERNATIONAL APPLICATION NO.
PCT/EP04/09765

I.A. FILING DATE	PRIORITY DATE
09/02/2004	09/19/2003

27623

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 STAMFORD, CT 06901

CONFIRMATION NO. 7552

371 FORMALITIES LETTER



OC000000021122920

Date Mailed: 11/06/2006

NOTIFICATION OF MISSING REQUIREMENTS UNDER 35 U.S.C. 371 IN THE UNITED STATES DESIGNATED/ELECTED OFFICE (DO/EO/US)

The following items have been submitted by the applicant or the IB to the United States Patent and Trademark Office as a Designated / Elected Office (37 CFR 1.495).

- Copy of the International Application filed on 03/17/2006
- Copy of the International Search Report filed on 03/17/2006
- Copy of IPE Report filed on 03/17/2006
- Preliminary Amendments filed on 03/17/2006
- Information Disclosure Statements filed on 03/17/2006
- U.S. Basic National Fees filed on 03/17/2006
- Priority Documents filed on 03/17/2006
- Specification filed on 03/17/2006
- Claims filed on 03/17/2006
- Abstracts filed on 03/17/2006
- Drawings filed on 03/17/2006

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 OHLANDT, GREELEY,
 RUGGIERO & PERLE, LLP

The applicant needs to satisfy supplemental fees problems indicated below.

The following items **MUST** be furnished within the period set forth below in order to complete the requirements for acceptance under 35 U.S.C. 371:

- Oath or declaration of the inventors, in compliance with 37 CFR 1.497(a) and (b), identifying the application by the International application number and international filing date.
- To avoid abandonment, a surcharge (for late submission of filing fee, search fee, examination fee or oath or declaration) as set forth in 37 CFR 1.492(h) of \$130 for a non-small entity, must be submitted with the missing items identified in this letter.

SUMMARY OF FEES DUE:

Total additional fees required for this application is \$130 for a Large Entity:

- \$130 Surcharge.

ALL OF THE ITEMS SET FORTH ABOVE MUST BE SUBMITTED WITHIN TWO (2) MONTHS FROM THE DATE OF THIS NOTICE OR BY 32 MONTHS FROM THE PRIORITY DATE FOR THE APPLICATION, WHICHEVER IS LATER. FAILURE TO PROPERLY RESPOND WILL RESULT IN ABANDONMENT.

The time period set above may be extended by filing a petition and fee for extension of time under the provisions of 37 CFR 1.136(a).

Applicant is reminded that any communications to the United States Patent and Trademark Office must be mailed to the address given in the heading and include the U.S. application no. shown above (37 CFR 1.5)

Registered users of EFS-Web may alternatively submit their reply to this notice via EFS-Web.
<https://sportal.uspto.gov/authenticate/AuthenticateUserLocalEPF.html>

For more information about EFS-Web please call the USPTO Electronic Business Center at 1-866-217-9197 or visit our website at <http://www.uspto.gov/ebc>.

If you are not using EFS-Web to submit your reply, you must include a copy of this notice.

FREDERICK SMITH

Telephone: (703) 308-9140 EXT 210

PART 1 - ATTORNEY/APPLICANT COPY

U.S. APPLICATION NUMBER NO.	INTERNATIONAL APPLICATION NO.	ATTY. DOCKET NO.
10/572,350	PCT/EP04/09765	WW050USU